

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 246105US2		SERIAL NO. New Application	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Yoshio OZAWA, et al.			
				FILING DATE Herewith		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
DM	AO	2001-168306	6/22/01	Japan (with English Abstract)	YES	NO	X
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
DM	AW	W. -H. LEE, et al., "A NOVEL HIGH K INTER-POLY DIELECTRIC (IPD), Al ₂ O ₃ FOR LOW VOLTAGE/HIGH SPEED FLASH MEMORIES: ERASING IN MSECS AT 3.3V", 1997 Symposium on VLSI Technology Digest of Technical Papers, June 1997, Pages 117-118					
	AX						
	AY						
	AZ	<input type="checkbox"/> Additional References sheet(s) attached					
Examiner 				Date Considered 9/12/05			

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
246105US2*	10/724,103	12/01/03	2005 0002231	OZAWA et al.
DM 268737US2S	11/088,947	03/25/05		TANAKA et al.



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<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
246105US2*	10/724,103	12/01/03	2005/0002231	OZAWA et al.
270623US2	11/103,612	04/12/05		IINO et al.

9/12/05

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*Present Application; listed for information

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